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To:	Examiner: Derek L. Dupuls Group Art Unit: 2883 Confirmation No.: 9037	From:	Leopold Presser, Esq.
Fax:	571-273-8300	Pages:	16 (Including fax cover sheet)
Phone:		Date:	September 2, 2005
Re:	Applicants: Eric A. Johnson, et al. U.S. Patent Application No. 10/692,921 IBM Ref: END920010133US1 Our Docket: 15171	CC	

AMENDMENT UNDER 37 C.F.R. §1.111**TRANSMITTED HEREWITH:**

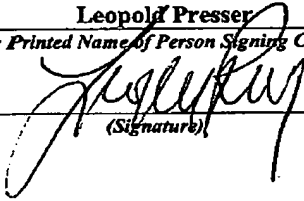
1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111;
3. Authorization to Charge IBM Deposit Account No. 09-0457/IBM, if necessary; and
4. Certificate of Facsimile Transmission, dated September 2, 2005 (in duplicate).

FOR:

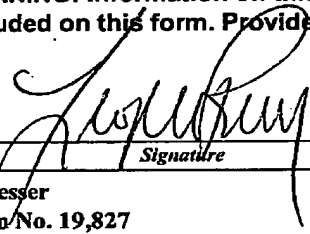
Applicant: Eric A. Johnson, et al.
U.S. Patent Application No. 10/692,921
Filed: October 24, 2003
IBM Ref: END920010133US1
Our Docket: 15171

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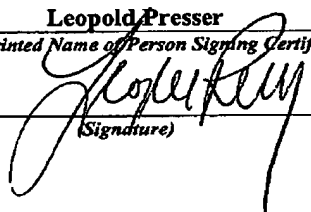
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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No. END920010133US1 (15171)	
Applicant(s): Eric A. Johnson, et al.				
Application No. 10/692,921	Filing Date October 24, 2003	Examiner Derek L. Dupuis	Group Art Unit 2883	
Invention: PASSIVE ALIGNMENT OF VSCELS TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS				
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u>)</p> <p>on <u>September 2, 2005</u> (Date)</p> <p style="text-align: center;"><u>Leopold Presser</u> (Typed or Printed Name of Person Signing Certificate)</p> <p style="text-align: center;"> (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>				

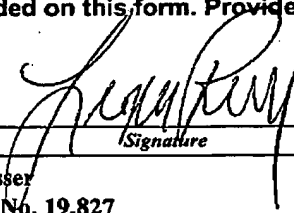
P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)					Docket No. END920010133US1 (15171)	
Applicant(s): Eric A. Johnson, et al.						
Application No. 10/692,921	Filing Date October 24, 2003	Examiner Derek L. Dupuis	Customer No. 23389	Group Art Unit 2883	Confirmation No. 9037	
Invention: PASSIVE ALIGNMENT OF VCSELs TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS						
COMMISSIONER FOR PATENTS:						
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	19 -	20 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0457/IBM <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
 Signature			Dated: September 2, 2005			
Leopold Presser Registration No. 19,827 Scully, Scott, Murphy & Presser 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343			<div style="border: 1px solid black; padding: 5px;"><input checked="" type="checkbox"/> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date) _____ Signature of Person Mailing Correspondence _____ Typed or Printed Name of Person Mailing Correspondence</div>			
cc: LP:jy						

P11LARGE/REV09

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s): Eric A. Johnson, et al.			END920010133US1 (15171)
Application No. 10/692,921	Filing Date Ocotober 24, 2003	Examiner Derek L. Dupuis	Group Art Unit 2883
Invention: PASSIVE ALIGNMENT OF VSCELS TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS			
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.111</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(571) 273-8300</u> on <u>September 2, 2005</u> (Date)</p> <p style="text-align: center;"><u>Leopold Presser</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No. END920010133US1 (15171)	
Applicant(s): Eric A. Johnson, et al.					
Application No. 10/692,921	Filing Date October 24, 2003	Examiner Derek L. Dupuis	Customer No. 23389	Group Art Unit 2883	Confirmation No. 9037
Invention: PASSIVE ALIGNMENT OF VSCELs TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS					
COMMISSIONER FOR PATENTS:					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	19 -	20 =	0	x \$50.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0457/IBM <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
 _____ Signature Leopold Presser Registration No. 19,827 Scully, Scott, Murphy & Presser 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343			Dated: September 2, 2005		
cc: LP:jy			<div style="border: 1px solid black; padding: 5px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p><p style="text-align: center;">(Date)</p><p style="text-align: center;">_____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">_____ Typed or Printed Name of Person Mailing Correspondence</p></div>		

P11LARGE/REV09

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Eric A. Johnson et al.	Examiner:	Derek L. Dupuis
Serial No:	10/692,921	Art Unit:	2883
Filed:	October 24, 2003	Docket:	END920010133US1 (15171)
For:	PASSIVE ALIGNMENT OF VSCELS TO WAVEGUIDES IN OPTO-ELECTRONIC CARDS AND PRINTED CIRCUIT BOARDS		
Dated:	September 2, 2005		

Confirmation No.: 9037

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT UNDER 37 C.F.R. §1.111

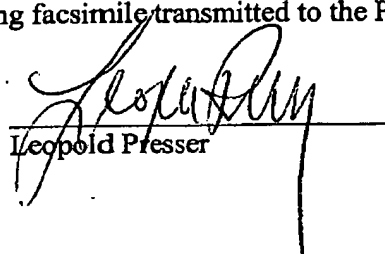
Sir:

In response to the Office Action of June 14, 2005, applicants respectfully request that the following amendments be entered into this application:

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Dated: September 2, 2005


Leopold Presser

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